

Report on financial sanctions imposed by commissioners on providers under the NHS Standard Contract for failure to achieve national standards

Commissioner name **NHS Cumbria CCG**

Commissioner organisation code **01H**

Notes

This report should be published quarterly by each commissioner on its website.
Only include those providers where sanctions of over £1,000 are triggered and applied for a particular standard in the period.
Enter values in £.
For further detail, see Appendix 6 of the Contract Technical Guidance, available at <http://www.england.nhs.uk/nhs-standard-contract/>

Ref	Schedule 4A Operational Standards	Standard	Q1 2015/2016				Actual or intended use of funding withheld	Q2 2015/2016				Actual or intended use of funding withheld	Q3 2015/2016				Actual or intended use of funding withheld	Q4 2015/2016				Actual or intended use of funding withheld
			North Cumbria Hospitals (RNL)	University Hospitals of Morecambe Bay (RTX)	Cumbria Partnership Trust (RNN)	Total		North Cumbria Hospitals (RNL)	University Hospitals of Morecambe Bay (RTX)	Cumbria Partnership Trust (RNN)	Total		North Cumbria Hospitals (RNL)	University Hospitals of Morecambe Bay (RTX)	Cumbria Partnership Trust (RNN)	Total		North Cumbria Hospitals (RNL)	University Hospitals of Morecambe Bay (RTX)	Cumbria Partnership Trust (RNN)	Total	
			£	£	£	£		£	£	£	£		£	£	£	£		£	£	£	£	
RTT waiting times for non-urgent consultant-led treatment																						
E.B.3	Percentage of Service Users on incomplete RTT pathways (yet to start treatment) waiting no more than 18 weeks from Referral	Operating standard of 92% at specialty level	£288,144	£70,721	£5,340	£364,205	Investment in improved performance	£146,902	£35,938	£14,532	£197,372	Investment in improved performance	£339,664	£114,253	£25,596	£479,513	Investment in improved performance	£337,890	£299,198	£33,312	£670,400	Investment in improved performance
Diagnostic test waiting times																						
E.B.4	Percentage of Service Users waiting less than 6 weeks from Referral for a diagnostic test	Operating standard of 99%	£194,424	£0	£12,846	£207,270	Investment in improved performance	£496,770	£0	£8,162	£504,932	Investment in improved performance	£212,308	£15,870	£1,054	£229,232	Investment in improved performance	£69,068	£21,792	£2,074	£92,934	Investment in improved performance
A&E waits																						
E.B.5	Percentage of A & E attendances where the Service User was admitted, transferred or discharged within 4 hours of their arrival at an A&E department	Operating standard of 95%	£135,657	£14,865	£0	£150,522	Investment in patient flow measures in the wider economy e.g care home beds for discharge and nursing team in A&E corridor for patient handover.	£70,122	£7,412	£0	£77,534	Investment in patient flow measures in the wider economy e.g care home beds for discharge and nursing team in A&E corridor for patient handover.	£240,258	£55,261	£0	£295,519	Investment in patient flow measures in the wider economy e.g care home beds for discharge and nursing team in A&E corridor for patient handover.	£263,436	£153,132	£0	£416,568	Investment in patient flow measures in the wider economy e.g care home beds for discharge and nursing team in A&E corridor for patient handover.
Cancer waits - 2 week wait																						
E.B.6	Percentage of Service Users referred urgently with suspected cancer by a GP waiting no more than two weeks for first outpatient appointment	Operating standard of 93%	£14,184	£11,332	£0	£25,516	Investment in improved performance	£5,332	£6,998	£0	£12,330	Investment in improved performance	£1,600	£1,604	£0	£3,204	Investment in improved performance	£0	£0	£0	£0	Investment in improved performance
E.B.7	Percentage of Service Users referred urgently with breast symptoms (where cancer was not initially suspected) waiting no more than two weeks for first outpatient appointment	Operating standard of 93%	£12,940	£0	£0	£12,940	Investment in improved performance	£8,010	£1,882	£0	£9,892	Investment in improved performance	£2,200	£2,555	£0	£4,755	Investment in improved performance	£0	£0	£0	£0	Investment in improved performance
Cancer waits - 31 days																						
E.B.8	Percentage of Service Users waiting no more than one month (31 days) from diagnosis to first definitive treatment for all cancers	Operating standard of 96%	£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0	
E.B.9	Percentage of Service Users waiting no more than 31 days for subsequent treatment where that treatment is surgery	Operating standard of 94%	£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0	
E.B.10	Percentage of Service Users waiting no more than 31 days for subsequent treatment where that treatment is an anti-cancer drug regimen	Operating standard of 98%	£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0	
E.B.11	Percentage of Service Users waiting no more than 31 days for subsequent treatment where the treatment is a course of radiotherapy	Operating standard of 94%	£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0	
Cancer waits - 62 days																						
E.B.12	Percentage of Service Users waiting no more than two months (62 days) from urgent GP referral to first definitive treatment for cancer	Operating standard of 85%	£29,500	£0	£0	£29,500	Investment in improved performance	£25,595	£0	£0	£25,595	Investment in improved performance	£17,003	£0	£0	£17,003	Investment in improved performance	£0	£0	£0	£0	Investment in improved performance
E.B.13	Percentage of Service Users waiting no more than 62 days from referral from an NHS screening service to first definitive treatment for all cancers	Operating standard of 90%	£0	£0	£0	£0		£0	£0	£0	£0		£2,685	£0	£0	£2,685	Investment in improved performance	£0	£0	£0	£0	Investment in improved performance
Category A ambulance calls																						
E.B.15.i	Percentage of Category A Red 1 ambulance calls resulting in an emergency response arriving within 8 minutes	Operating standard of 75%				£0					£0					£0					£0	
E.B.15.ii	Percentage of Category A Red 2 ambulance calls resulting in an emergency response arriving within 8 minutes	Operating standard of 75%				£0					£0					£0					£0	
E.B.16	Percentage of Category A calls resulting in an ambulance arriving at the scene within 19 minutes	Operating standard of 95%				£0					£0					£0					£0	
Mixed sex accommodation breaches																						
E.B.S.1	Sleeping Accommodation Breach	Zero breaches	£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0	
Cancelled operations																						
E.B.S.2	All Service Users who have operations cancelled, on or after the day of admission (including the day of surgery), for non-clinical reasons to be offered another binding date within 28 days, or the Service User's treatment to be funded at the time and hospital of the Service User's choice	All Service Users to be offered another binding date within 28 days >0	£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0	
Mental health																						
E.B.S.3	Care Programme Approach (CPA): The percentage of Service Users under adult mental illness specialties on CPA who were followed up within 7 days of discharge from psychiatric in-patient care	Operating standard of 95%				£0					£0					£0					£0	

Ref	Schedule 4B National Quality Requirements	Standard	Q1 2015/2016				Actual or intended use of funding withheld	Q2 2015/2016				Actual or intended use of funding withheld	Q3 2015/2016				Actual or intended use of funding withheld	Q4 2015/2016				Actual or intended use of funding withheld
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			£	£	£	£		£	£	£	£		£	£	£	£		£	£	£	£	
E.A.S.4	Zero tolerance MRSA	Zero breaches	£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0	
E.A.S.5	Minimise rates of Clostridium difficile	Zero breaches	£10,000	£0	£0	£10,000		£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0	
E.B.S.4	Zero tolerance RTT waits over 52 weeks for incomplete pathways	Zero breaches	£0	£0	£0	£0		£0	£5,000	£0	£5,000		£0	£0	£0	£0		£0	£5,000	£0	£5,000	Investment in improved performance
E.B.S.7a	All handovers between ambulance and A & E must take place within 15 minutes with none waiting more than 30 minutes	Zero breaches	£61,600	£31,519	£0	£93,119	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.	£33,600	£34,021	£0	£67,621	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.	£82,000	£53,132	£0	£135,132	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.	£127,800	£66,340	£0	£194,140	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.
E.B.S.7b	All handovers between ambulance and A & E must take place within 15 minutes with none waiting more than 60 minutes	Zero breaches	£91,000	£54,533	£0	£145,533	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.	£36,000	£41,525	£0	£77,525	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.	£166,000	£70,043	£0	£236,043	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.	£252,000	£162,099	£0	£414,099	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.
E.B.S.8a	Following handover between ambulance and A & E, ambulance crew should be ready to accept new calls within 15 minutes and no longer than 30 minutes	Zero breaches				£0					£0					£0					£0	
E.B.S.8b	Following handover between ambulance and A & E, ambulance crew should be ready to accept new calls within 15 minutes and no longer than 60 minutes	Zero breaches				£0					£0					£0					£0	
E.B.S.5	Trolley waits in A&E not longer than 12 hours	Zero breaches	£2,000	£0	£0	£2,000	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.	£0	£500	£0	£500	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.	£4,000	£0	£0	£4,000	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.	£6,000	£2,502	£0	£8,502	Investment in patient flow measures e.g nursing team in A&E corridor for patient handover.
E.B.S.6	No urgent operation should be cancelled for a second time	Zero breaches	£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0	
	Duty of candour	Each failure to notify the Relevant Person of a suspected or actual Reportable Patient Safety Incident in accordance with SC35	£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0	
	Completion of a valid NHS Number field in mental health and acute commissioning data sets submitted via SUS, as defined in Contract Technical Guidance	Operating standard of 99%	£4,763	£0	£0	£4,763	Investment in improved performance	£0	£0	£1,118	£1,118	Investment in improved performance	£0	£0	£0	£0		£0	£0	£0	£0	
	Completion of a valid NHS Number field in A&E commissioning data sets submitted via SUS, as defined in Contract Technical Guidance	Operating standard of 95%	£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0		£0	£0	£0	£0	
	Completion of Mental Health Services Data Set ethnicity coding for all detained and informal Service Users, as defined in Contract Technical Guidance	Operating standard of 90%				£0					£0					£0					£0	
	Completion of IAPT Minimum Data Set outcome data for all appropriate Service Users, as defined in Contract Technical Guidance	Operating standard of 90%				£0					£0					£0					£0	